



PATENT  
Attorney Docket No. 34098/US/2  
Dorsey File No. 463077-00270

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MARSHALL

Serial No.: 10/811,492

Filed: March 19, 2004

For: *Generating Protein Pro-Drugs  
Using Reversible PPG Linkages*

Group Art Unit: 1646

Examiner: To Be Assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: November 29, 2004

Signature: [Signature]  
Lukas K. Szymanski

TRANSMITTAL

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

These documents are being submitted in response to Notice to File Missing Parts of Nonprovisional Application dated September 30, 2004. The Commissioner is authorized to charge any additional fees required, extension fees or other relief, which may be required, or credit any overpayment to Deposit Account No. 50-2319 (File No. 463077-00270; Docket No. 34098/US/2).

Transmitted herewith are the following for the above-identified application:

- 1) Response to Notice to File Missing Parts and Preliminary Amendment with Replacement Sheets and Annotated Marked-up Figures for Figures 1-4 (7 pgs.);
- 2) Copy of Notice to File Missing Parts dated September 30, 2004 (2 pgs.);
- 3) Executed Declaration (1 pg.);
- 4) Supplemental Application Data Sheet (2 pgs)
- 5) Initial Application Data Sheet, marked to show changes (2 pgs.);

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- 6) Executed Power of Attorney (1 pg.);
- 7) Paper Copy of Sequence Listing (4 pgs.);
- 8) CRF Copy of Sequence Listing (diskette);
- 9) Check for \$450.00 (to cover filing fee and declaration surcharge); and
- 10) Return Postcard.

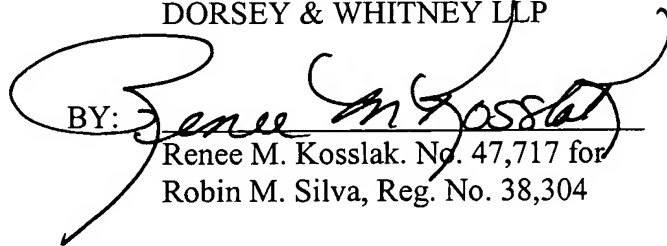
Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: 11/29/04  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/811,492	03/19/2004	Shannon Marshall	34098/US/2

Robin M. Silva, Esq.  
 Dorsey & Whitney LLP  
 Intellectual Property Department  
 Four Embarcadero Center, Suite 3400  
 San Francisco, CA 94111-4187



CONFIRMATION NO. 1818

## FORMALITIES LETTER



\*OC000000013955529\*

Date Mailed: 09/30/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/03/2004 MBERHE 00000013 502319 10811492

FILED UNDER 37 CFR 1.53(b)

01 FC:2051 65.00 OP  
 02 FC:2001 10.00 DA 385.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE